UTILITY			Atto	Attorney Docket No.			82162/1/1 (ISURF #02885)		
PATENT APPLICATION			First	First Inventor		i	David J. Hannapel		
TRANSMITTAL			Title	Title Potato Transcription Factors, Methods of Use				se	
(Only for new nonprovisional applications under 37 CFR 1.53(b))				Thereof, and a Method for Enhancing Tuber Development					r
			Expi	Express Mail Label No. EV139569422US					
	APPLICATION ELEM			ADD	RESS TO:	Mail S	top Pater	nt Application	
	See MPEP chapter 600 concerning utility patent application contents.				ADDRESS TO: Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450				
1. E Fee Transmi	1. ☐ Fee I ransmittal Form (e.g., PTO/SB/17)  7. ☐ CD-ROM or CD-R in duplicate, large table or Submit on original and duplicate for research.						cate, large table or		
2. Applicant classes 37 CFR	aims small entity status.	<b>6</b> /		8. N	Computer I ucleotide and	or Amin	o Acid S	lix) Sequence Submission	
3. E Specification	Total Pages	129_]		( <i>y</i> a.	Computer Computer	u necess r Readai	s <i>ary)</i> ble Form	(CRF)	
(preferred arrang	ement set forth below) ive title of the invention			<ul> <li>a.</li></ul>					
- Cross Re	eference to Related Applica	tions (if applicable)			i. 🛭 CD	-ROM o	т CD-R	(2 copies; or	
- Statemer	nt Regarding Fed sponsored	R & D (if applicable	e)		_ ii. 🗷 pap	er (30 pa	ages)		
or a com	ce to sequence listing, a table puter program listing apper	e, div (if applicable)	1	c.	Statemen	its verify	ring iden	tity of above copies	
- Backgrou	und of the Invention	idix ( <i>y applicable)</i>			ACCOMP.	ANYIN	G APPL	ICATION PARTS	
- Brief Sur	mmary of the Invention			9. [	☐ Assignme	nt Papers	(cover	sheet & document(s))	
- Brief De	scription of the Drawings (	if filed)		10. <b>[</b>	☐ 37 CFR 3.	73(b) St	atement	Power of	
- Claim(s)					(when the	re is an a	ssignee)	Attorney	
- Abstract	of the Disclosure		[	11. [	English T	anslatio	n Docum	nent (if applicable)	
4. E Drawing(s)		Sheets 33 ]	1	12. <b>C</b>	Informatio			☐ Copies of II	os
5. Oath or Declaration	on / Total	Pages 2 ]		12 [	Statement			Citations	
a. U Newly ex	recuted (original or copy)				Preliminar				
b. 🗷 Unsigned			l	14. 6	Return Re	ceipi Pos s <i>necific</i>	stcard (M	IPEP 503)	
c. U Copy from	m a prior application (37 Cinuation/divisional with Box	FR 1.63(d))	į	(Should be specifically itemized) 15. □ Certified Copy of Priority Document(s)					
i. D DEL	ETION OF INVENTOR(S)	i 16 compietea)		(if foreign priority is claimed)					
Signe	ed statement attached deleti	ng inventor(s)		16. Nonpublication request under 35 U.S.C.					
name	named in the prior application, see 37 CFR			122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.					
	d)(2) and 1.33(b) Data Sheet. See 37 CFR 1.7			17. D Other:					
II .					_				
The and implication D	NG APPLICATION, check Data Sheet under 37 CFR 1.	70.		e requi	isite informati	ion belov	v and in	a preliminary amendme	nt,
Continuation Divisional Continuation-in-part (CIP) of prior application No.:/									
Prior application infor	mation; Exam	uiner		(	Group / Art Uni	t:			
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The									
	part of the disclosure of the ac oe relied upon when a portion							rated by reference. The	•
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Customer Number or	· Rar Code Label	33 CORREST OF	IDLINCE	ADDR	****		-		_
— Classific Planter of Bar Code Laber				or 🗷 Correspondence address below					
Name Nixon Peabody LLP						_			
Address	Clinton Square, P.O. Box	31051							
City	Rochester	State	NY		19	in Code		14602 1051	
Country USA Telephone (585) 263-				Zip Code 14603-1051 3-1672 Fax (585) 263-1600					
N(D:	(2007)							==	
Name (Print/Type)	Georgia Evans	Registration	No. (Atto	orney/Agent) 44,597					
Signature	Signature Lengia Zvano				D	ate	July 2	1, 2003	
	1 Jona	our or	<u> </u>						- 1

## FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 7

	Complete if Known	
Application Number	To be Assigned	
Filing Date	Herewith	
First Named Inventor	David J. Hannapel	
Examiner Name	To be Assigned	
Art Unit	To be Assigned	
Attorney Docket No.	82162/171 (ISURF #02885)	

Check     Check   Ch	METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)								
Deposit Accounts				3. ADDITIONAL FEES									
Account   14-1138				Large Entity   Small Entity			Entity						
Number	Deposit 14 1129							Fee Description					
Depoil   Nixon Peabody LLP   1952   30   202   25   25   Surcharge - Lae provisional filing fee or cover the control of the		14-1130							Sumbarra – late filing fee or oath				
Deposition   Nixon   Peabody LLP   1953   130   130													
Nixon   Peabody LLP   Single						50	2002						
The Commissioner is authorized to: (check all that apph)   Credit any overpayments   1804   920   1804   920   1804   1805   1,840   1,840   1,84		Nixon P	eabody LLP										
The Commissioner is authorized to: (check all that apph)   1805 1,840*	Name					-		•					
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Charge facty   indicated below, except for the filling fee   1251   110   2251   255   Extension for reply within first month   1252   135   393   2252   225   Extension for reply within first month   1253   135   393   2253   465   Extension for reply within formth   1254   1459   2254   725   Extension for reply within formth   1254   1459   2254   725   Extension for reply within formth   1255   1370			<u>-</u>		1805	1,840*	1805	1,840*					
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The above-identified deposits account	lm "'		``						. ,				
1. BASIC FILING FEE	O.m.go 100(				1252	410	2252	205	Extension for reply within second month				
Large Entity   Small Entity   Fee	to the above-ident	med deposit	account.		1253	930	2253	465	Extension for reply within third month				
		FEE	CALCULATION		1254	1,450	2254	725	Extension for reply within fourth month				
Fee	1. BASIC FILE	ING FEE			1255	1,970	2255	985	Extension for reply within fifth month				
Code   (\$)   Code   (\$)   Fee Paid			•		1401	320	2401	160	Notice of Appeal				
1403   280   2403   140   Request for onal hearing			•	Fee Paid	1402	320	2402	160	Filing a brief in support of an appeal				
1001   750   2001   375   2001   2001   2001   2001   2001   2001   2001   2001   2001   2001	Code (3)	Code (3)	'	ree raid	1403	280	2403	140	Request for oral hearing				
1452   110   2452   55   Petition to revive – unavoidable   1453   1,300   2453   650   Petition to revive – unavoidable   1453   1,300   2501   650   Utility issue fee   Petition to revive – unavoidable   1453   1,300   2501   650   Utility issue fee   Petition to revive – unintertional   1453   1,300   2501   25	1001 750	2001 2	75 Utility filing for	375.00			1						
1453   1,300   2453   650   Petition to revive – unintentional   1501   1,300   2501   650   101lifly issue fee (or reissue)   1501   1,300   1501   1,300   1501   1,300	1				Į.								
1501   1,300   2501   650   Utility issue fee (or reissue)   1502   470   2502   235   Design issue fee   1605   160   2005   80   Provisional filing fee   1502   470   2502   235   Design issue fee   1600   130   1400   130   Petitions to the Commissioner   1807   50   1807   50   Processing fee under 37 CFR I.17(q)   1806   1806   180			, , , , , , , , , , , , , , , , , , ,										
1005   160   2005   80   Provisional filing fee   1503   630   2503   315   Plant issue fee   1503   2503							1						
SUBTOTAL (1) (5) 375  SUBTOTAL (2) (5) 366  SUBTOTAL (1) (5) 375  SUBTOTAL (2) (5) 366  SUBTOTAL (3) (5) 0  SUBTOTAL (2) (5) 366  SUBTOTAL (3) (5) 2503  SUBTOTAL (4) (5) 375  SUBTOTAL (5) (5) 375  SUBTOTAL (6) (5) 375  SUBTOTAL (7) (7) 2801  SUBTOTAL (7) (5) 366  SUBTOTAL (8) (6) 375  SUBTOTAL (9) (6) 375  SUBTOTAL (9) (6) 375  SUBTOTAL (1) (6) 366  SUBTOTAL (1) (6) 366  SUBTOTAL (2) (6) 366  SUBTOTAL (3) (5) 0  SUBTOTAL (3) (5) 0  SUBTOTAL (4) (5) 366  SUBTOTAL (5) (6) 375  SUBTOTAL (7) (6) 366  SUBTOTAL (7) (7) (7) (7) (7) (7) (7) (7) (7) (7)			ř										
SUBTOTAL (1)   (5) 375	1005 160	2005	80 Provisional filing fee										
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE    Extra Claims   Fee from   Fee from   Fee Paid   Submission of Information Disclosure Stmt   Submission Stmt   Submission of Information Disclosure Stmt   Submission Stmt   Submission Stmt   Submission Stmt   Submission Stmt   Submiss		CI	IDTOTAL (1)										
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from Extra Claims below Fee Paid Total Claims 56 - 20** = 36 X 9 = 324  Independent 4 - 3** = 1 X 42 = 42  Independent 4 - 3** = 1 X 42 = 42  Independent 5 X = 0 - 20* = 10 X = 0 - 20* = 10 X = 0 X		St	(\$) 375		1460	130	1400	130	Petitions to the Commissioner				
Submitted by   Fee From   Fee From   Fee Fail   Submit   Fee Fail   Fee Fee Fee Fee Fee Fee Fee Fee Fee F					1807	50	1807	50	Processing fee under 37 CFR 1.17(q)				
Total Claims    Sector Claims	2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE				180	1806	180	Submission of Information Disclosure Stmt					
Total Claims   36   -20** =   36   X   9   =   324     1809   750   2809   375   Filing a submission after final rejection (37 CFR 1.129(a))   1810   750   2810   375   For each additional invention to be examined (37 CFR 1.129(b))   1801   750   2810   375   Request for Continued Examination (RCE)   1801   750   2801   375   Request for Continued Examination (RCE)   1802   900				Foe Date	8021	40	8021	40					
Independent 4 -3** = 1	Total Claims	56 -20**			1809	750	2809	375	Filing a submission after final rejection				
Multiple Dependent  X		-3**	= 1 X 42 =	42	1810	750	2810	375	For each additional invention to be examined				
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1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claims over original patent 1204 84 2204 42 **Reissue independent claims over original patent 1205 18 2205 9 **Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$\frac{1}{3}\frac{36}{366}\]  ***or number previously paid, if greater; For Reissues, see above  **Typed or printed name  **SUBMITTED BY  Centify Hat this correspondence is being:  deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450  Tagenture  **Complete (if applicable)  Registration No. (Attorney/Agent)  **Reduced by Basic Filing Fee Paid  **Reduced by Basic Filing Fee Paid  SUBTOTAL (3)  (5) 0  **Reduced by Basic Filing Fee Paid  SUBTOTAL (3)  (5) 0  **CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]  I hereby certify that this correspondence is being:  deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450  Date  Signature  Typed or printed name  **Complete (if applicable)  Telephone  (585) 263-1672					0.1	· ·	) 		application				
1201 84   2201 42   Independent claims in excess of 3     1203 280   2203 140   Multiple dependent claim, if not paid     1204 84 2204 42   ** Reissue independent claims over original patent     1205 18 2205 9   ** Reissue claims in excess of 20 and over original patent     SUBTOTAL (2) (S) 366					Other	iee (specii	(y)						
**Reduced by Basic Filing Fee Paid SUBTOTAL (3) (5) 0  **Reduced by Basic Filing Fee Paid SUBTOTAL (3) (5) 0  **Reduced by Basic Filing Fee Paid SUBTOTAL (3) (5) 0  **Reduced by Basic Filing Fee Paid SUBTOTAL (3) (5) 0  **CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)] I hereby certify that this correspondence is being:  **Output CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)] I hereby certify that this correspondence is being:  **Output CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)] I hereby certify that this correspondence is being:  **Output Certify that this correspondence is being:				ress of 3									
1203 280 2203 140   Multiple dependent claim, if not paid   1204 84 2204 42   ** Reissue independent claims over original patent   1205 18 2205 9   ** Reissue claims in excess of 20 and over original patent   1205 18 2205 9   ** Reissue claims in excess of 20 and over original patent   1205 18 2205   SUBTOTAL (2) (5) 366   Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450   1205 18 2205   Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450   1205 18 2205   Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450   1205 18 2205   Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450   1205 18 2205 18 2205   Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450   1205 18 2205 18 2205 18 2205   Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450   1205 18 2205 18 2205 18 2205   Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450   Trademark Office at (703)   Date   Signature   Typed or printed name   Typed or printed name   Telephone   (585) 263-1672   Complete (if applicable)   Com			- macpointent ciamis in ext	223 01 3	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0								
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**or number previously paid, if greater; For Reissues, see above  Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450  transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703)  Date  Signature  Typed or printed name  SUBMITTED BY  Registration No. (Attorney/Agent)													
Trademark Office at (703)  Date  SUBMITTED BY  Registration No. (Attorney/Agent)  Registration No. (Attorney/Agent)  Signature  Telephone  Telephone  Trademark Office at (703)  Date  Signature  Typed or printed name  (585) 263-1672	SUBTOTAL (2) (\$) 366			postage as first class mail in an envelope addressed to: Mail Stop,									
Trademark Office at (703)  Date  Signature  Typed or printed name  SUBMITTED BY  Name (Print/Type)  Georgia Evans  Registration No. (Attorney/Agent)  Registration No. (Attorney/Agent)  Page 1 July 21, 2003	**or number previously paid, if greater, For Reissues, see above												
SUBMITTED BY  Name (Print/Type)  Georgia Evans  Registration No. (Attorney/Agent)  Telephone  Typed or printed name  Complete (if applicable)  Telephone													
SUBMITTED BY  Name (Print/Type)  Georgia Evans  Registration No. (Attorney/Agent)  Telephone  Typed or printed name  Complete (if applicable)  Telephone													
SUBMITTED BY  Complete (if applicable)  Name (Print/Type)  Georgia Evans  Registration No. (Attorney/Agent)  (Attorney/Agent)  Telephone  (585) 263-1672					Date Signature								
SUBMITTED BY  Complete (if applicable)  Name (Print/Type)  Georgia Evans  Registration No. (Attorney/Agent)  (Attorney/Agent)  Telephone  (585) 263-1672									Timed or printed name				
Name (Print/Type)  Georgia Evans  Registration No. (Attorney/Agent)  Georgia Evans  Registration No. (Attorney/Agent)  Telephone  (585) 263-1672						Typed or printed name							
Signature (Attorney/Agent) Pote Luly 21, 2002									Complete (if applicable)	_			
Signature (Attorney/Agent) Pote Luly 21, 2002	Name (Print/To	ne)	Georgia Evans		_			44,597	(585) 263-1672				
Jema man Date July 21, 2003		-	· / · · ·		(Attori	iey/Agen	<i>t)</i>		Telephone	_			
	Signature XLenna frans					July 21, 2003							

DOCKET NO.

82162/171 (ISURF #02885)

APPLICANT(S):

David J. Hannapel, Hao Chen, and Faye M. Rosin

TITLE

POTATO TRANSCRIPTION FACTORS, METHODS OF USE

THEREOF, AND A METHOD FOR ENHANCING TUBER

**DEVELOPMENT** 

Certificate is attached to the Utility Patent Application Transmittal (1 page) (in duplicate) of the above-named application.

"EXPRESS MAIL" NUMBER:

EV139569422US

DATE OF DEPOSIT:

July 21, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the **Mail Stop Patent Application**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Georgia Evans

(Typed or printed name of person mailing paper or fee)

Signature of person mailing paper or fee)

DOCKET NO. : 8

82162/171 (ISURF #02885)

APPLICANT(S):

David J. Hannapel, Hao Chen, and Faye M. Rosin

TITLE

POTATO TRANSCRIPTION FACTORS, METHODS OF USE

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**DEVELOPMENT** 

Certificate is attached to the **Statement In Accordance With 37 CFR** §§ 1.821(f) (1 page), printed sequence listing (30 pages), and computer readable diskette of the above-named application.

"EXPRESS MAIL" NUMBER:

EV139569422US

DATE OF DEPOSIT:

July 21, 2003

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Georgia Evans

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

DOCKET NO.

82162/171 (ISURF #02885)

APPLICANT(S):

David J. Hannapel, Hao Chen, and Faye M. Rosin

TITLE

POTATO TRANSCRIPTION FACTORS, METHODS OF USE

THEREOF, AND A METHOD FOR ENHANCING TUBER

**DEVELOPMENT** 

Certificate is attached to the Request and Certification Under 35 U.S.C. § 122(b)(2)(B)(i) of the above-named application.

"EXPRESS MAIL" NUMBER:

EV139569422US

DATE OF DEPOSIT:

July 21, 2003

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Georgia Evans

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee

DOCKET NO. : 82162/171 (ISURF #02885)

APPLICANT(S): David J. Hannapel, Hao Chen, and Faye M. Rosin

TITLE : POTATO TRANSCRIPTION FACTORS, METHODS OF USE

THEREOF, AND A METHOD FOR ENHANCING TUBER

**DEVELOPMENT** 

Certificate is attached to the Submission of Formal Drawings and 33 pages of drawings of the above-named application.

"EXPRESS MAIL" NUMBER: EV139569422US

DATE OF DEPOSIT: July 21, 2003

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Georgia Evans
(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

DOCKET NO. : 82162/171 (ISURF #02885)

APPLICANT(S) : David J. Hannapel, Hao Chen, and Faye M. Rosin

TITLE : POTATO TRANSCRIPTION FACTORS, METHODS OF USE

THEREOF, AND A METHOD FOR ENHANCING TUBER

**DEVELOPMENT** 

Certificate is attached to the Patent Application, Including Specification, Claims, and Abstract (129 pages) and UNSIGNED Combined Declaration and Power of Attorney (2 pages) of the above-named application.

"EXPRESS MAIL" NUMBER: EV139569422US

DATE OF DEPOSIT: July 21, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the **Mail Stop Patent Application**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Georgia Evans
(Typed or printed name of person mailing paper or fee)

Signature of person mailing paper or fee)

DOCKET NO. : 82162/171 (ISURF #02885)

APPLICANT(S) : David J. Hannapel, Hao Chen, and Faye M. Rosin

TITLE : POTATO TRANSCRIPTION FACTORS, METHODS OF USE

THEREOF, AND A METHOD FOR ENHANCING TUBER

**DEVELOPMENT** 

Certificate is attached to the Fee Transmittal for FY 2003 (1 page) (in duplicate) of the above-named application.

"EXPRESS MAIL" NUMBER: EV139569422US

DATE OF DEPOSIT: July 21, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the **Mail Stop Patent Application**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Georgia Evans
(Typed or printed name of person mailing paper or fee)

Signature of person mailing paper or fee)

**PATENT** 

Docket No.: 82162/171 (ISURF # 02885)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Hannapel et al.	)	Examiner:
Serial No.	:	To Be Assigned	)	To Be Assigned
Cnfrm. No.	:	To Be Assigned	)	Art Unit: To Be Assigned
Filed	:	Herewith	)	
For	:	POTATO TRANSCRIPTION FACTORS, METHODS OF USE THEREOF, AND A METHOD FOR ENHANCING TUBER DEVELOPMENT	) ) ) )	

# REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request that the attached application not be published under 35 U.S.C. 122(b).

Applicants certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

No fees are believed to be due. However, please charge Deposit Account No. 14-1138 for any additional fees that may be incurred.

Respectfully submitted,

Date: fuly 21,2003

Georgia Evans

Registration No. 44,597

Nixon Peabody LLP

Clinton Square, P.O. Box 31051 Rochester, New York 14603

Telephone: (585) 263-1672 Facsimile: (585) 263-1600

R701308.1